

UKRI GCRF Funding opportunities



Robert Felstead – Head of Policy and Planning UKRI International Development team

28th January 2019



Global Context: UN Sustainable Development Goals





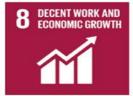
































UK Aid Strategy

- Strengthening global peace, security and governance
- ☐ Strengthening resilience and response to crises
- Promoting global prosperity
- Tackling extreme poverty and helping the world's most vulnerable





UK aid:

tackling global challenges in the national interest

Cm 9163

November 2015



Background to GCRF



Cutting edge research which addresses the problems faced by developing countries

2015 Government Spending Review Outcomes

- Address global challenges through disciplinary and interdisciplinary research
- Strengthening capability for research and innovation, within developing countries and the UK
- Agile response to emergencies and opportunities



GCRF Delivery Partners

















Northern Ireland





Countries of Focus of UKRI GCRF Awarded Projects





GCRF Challenge Leaders

UK Research and Innovation have appointed 9 Challenge Leaders, directing GCRF portfolios in:

- Global Health
- Food Systems
- Conflict
- Resilience
- Education
- Sustainable Cities.

The GCRF Challenge Leaders are responsible for the building and success of individual GCRF challenge portfolios and together collectively responsible for maximising the portfolios' overall research excellence and real-world impact. They will be charged with maximising the coherence and impact of each portfolio by working across the GCRF delivery partners.



Challenge Leaders

Challenge Leader	Portfolio	Title
	Resilience Challenge Leader	Professor Mark Pelling (King's College London)
	Resilience Challenge Leader	Dr John Rees (British Geological Survey)
	Conflicts Challenge Leader	Dr Neelam Raina (Middlesex University)
	Conflicts Challenge Leader	Dr Laura Hammond (SOAS University of London)
3	Cities Challenge Leader	Dr Jaideep Gupte (Institute of Development Studies)

Challenge Leader	Portfolio	Title
	Food Systems Challenge Leader	Professor Nicola Lowe (University of Central Lancashire)
	Food Systems Challenge Leader	Dr Tahrat Shahid (University of Oxford)
	Education Challenge Leader	Dr Kelsey Shanks (Ulster University UNESCO Centre)
	Global Health Challenge Leader	Dr Helen Fletcher (London School of Hygiene & Tropical Medicine)

UK Research and Innovation



The Collective Programme



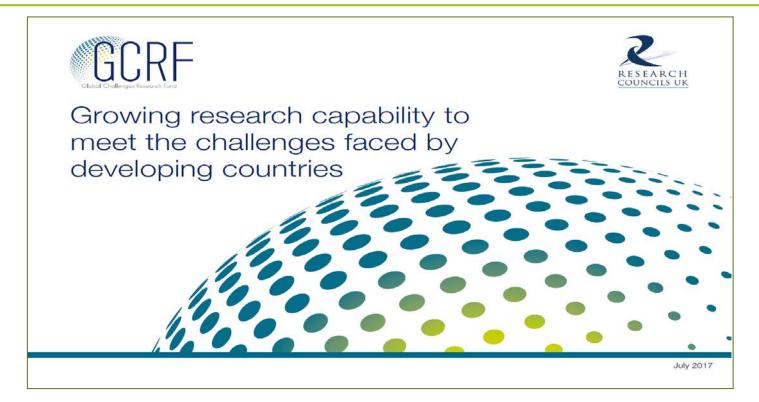
Growing Research Capability

37 strategic programmes to grow research capability to meet the challenges faced by developing countries:

- Strengthen research capacity and capability, building skills across career stages in both the UK and developing countries.
- Build strong and enduring relationships between UK research organisations and research organisations and other partners in developing countries.
- Deliver research outcomes with the potential for significant impact on the welfare and economic development of developing countries.
- Increase the engagement of the UK research community with international development challenges.

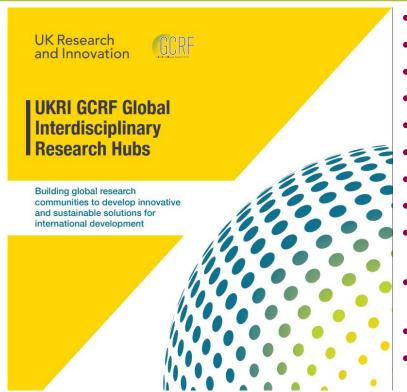








Interdisciplinary Research Hubs



- UKRI GCRF Achievement for Africa's Adolescents Hub
- UKRI GCRF Accountability for Informal Urban Equity Hub
- UKRI GCRF Action Against Stunting
- UKRI GCRF Gender, Justice and Security Hub
- UKRI GCRF Living Deltas Hub
- UKRI GCRF One Health Poultry Hub
- UKRI GCRF One Ocean Hub
- UKRI GCRF South Asian Nitrogen Hub
- UKRI GCRF South-South Migration, Inequality and Development Hub
- UKRI GCRF Trade, Development and the Environment Hub
- UKRI GCRF Urban Disaster Risk Hub
- UKRI GCRF Water Security and Sustainable Development Hub

https://www.ukri.org/news/global-research-hubs/



Interdisciplinary Research Hubs

Global reach of the Hubs



KEY FACTS

12 global interdisciplinary research Hubs

85 countries

550 researchers

400 unique partner organisations

£200M investment*

This map shows the number of unique organisations that are our partners on the 12 Hubs. Also the regions they are based in



And now... UKRI GCRF Collective Programme

- A series of calls designed to enhance the overall impact across the six strategic GCRF Challenge portfolios:
 - Global Health
 - Food Systems
 - Security (Protracted Conflict, Refugee Crises and Forced Displacement)
 - Education
 - Resilience to Environmental Shocks and Change
 - Cities and Sustainable Infrastructure
- The programme is an interdisciplinary programme delivered by UK Research and Innovation and steered by the GCRF Challenge Leaders.
- Calls launching between January and June 2019.



UKRI GCRF Collective Programme

Cities and Sustainable Infrastructure

- GCRF (Re)thinking the off-grid city
- GCRF Sustainable energy and international development: beyond technology

Education

- GCRF Education as a driver of sustainable development Network Plus
- GCRF Education in conflict and crisis research
- GCRF Education Interventions for Early Childhood Development

Food Systems

- GCRF Cultures and histories of agriculture, food, and nutrition
- GCRF A combined Food Systems approach to developing interventions to address the Double Burden of Malnutrition



UKRI GCRF Collective Programme

Global Health

GCRF Health and Context

Resilience to Environmental Shocks and Change

- GCRF Equitable Resilience: ensuring resilience enhances the Sustainable Development Goals
- GCRF Multiple and systemic Risks

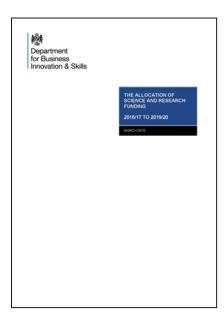
Security (Protracted Conflict, Refugee Crises and Forced Displacement)

- GCRF Development-based approaches to Protracted Displacement
- GCRF Preventing Conflict, Building Sustainable and Inclusive Peace
- GCRF Protection in Contexts of Conflict and Displacement



The Future of GCRF?

GRCF (£M resource)	16/17	17/18	18/19	19/20	20/21 *	SR Total
National Academies	11	11	11	11	11	45
AHRC	5	7	7	7	7	25
BBSRC	10	20	20	20	20	70
EPSRC	10	15	15	15	15	55
ESRC	5	10	10	10	10	35
HEFCE	20	37	37	37	37	130
MRC	14	34	34	34	34	115
NERC	5	10	10	10	10	35
STFC	0	4	4	4	4	11
International Partnership Programme	32	30	30	30	30	122
Unallocated GCRF	0	38	122	216	315	377
Totals	112	215	299	393	492	1019





The Future of GCRF?

- HMG Spending Review in 2019
- Collecting GCRF Case Studies
- Project to gather pre-Newton/GCRF impacts from research for development



How to get involved in GCRF

As part of research projects

- As a UK based applicant
- As an international co-investigator
- As a researcher (e.g. post-doctoral assistants)
- As a research partner organisation, project partner or sub-contractor

Other

- Peer reviewer / GCRF college member
- Funding panel members
- Attend GCRF meetings / workshops



Key Principles





GCRF: Fund Level Assessment Criteria

- Research Excellence
 new approaches not constrained by traditional methodologies or
 disciplinary silos
- ✓ Official Development Assistance (ODA) compliance OECD guidelines
- ✓ Equitable Partnerships and Building Capacity
 strong and enduring partnerships between UK and developing-country
 researchers to enhance the research and innovation capacity of both
- ✓ Impact: Problem and Solution Focused substantial impact on improved social welfare, economic development, and environmental sustainability



Challenge and impact focus



- Generate excellent and novel research
- Translate research into measurable development impacts
- Deliver a broad range of scalable impacts
- A sustainable programme with a legacy beyond the initial investment



Challenge-led approaches

Discipline-led

I'm a carpenter; pay me to make these different things









Challenge-led

Pay me to build a bridge. I'll work with a carpenter, designer, engineer, river expert...





Interdisciplinary research excellence

- Excellent research capacity
- Capacity to think across, between, within SDGs
- Assemble new knowledge and insights across different research communities
- Strategically build on previous investments



Image: British Academies



Interdisciplinary working

GCRF and particularly the Collective Fund is an excellent opportunity.

Creating a successful partnership involves:

- Identifying the right mix of disciplines
- Co-creation of a common vision and goals
- Defining a joint approach
- Positive leadership
- Identifying a method for dealing with disagreements/conflicts

Finding and Building Effective Partnerships:

www.ukcds.org/resources

http://pei.exchange



Partnerships

A key pillar of the GCRF strategy

- Multidisciplinary, transdisciplinary, transboundary partnerships
- Effective equitable partnerships underpin the aims of GCRF

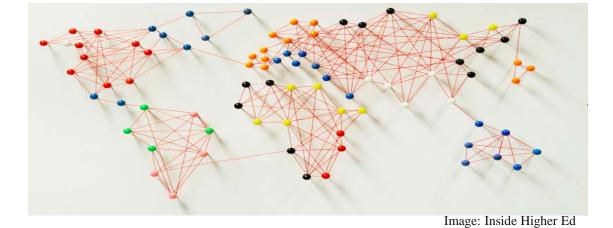
Opportunities/Benefits	Challenges		
Innovation	Different priorities, interests, expectations, goals, schedules		
Sharing knowledge and expertise	Different capacities, resources		
Access to resources, field sites, infrastructure	Different processes, structures		
Build capacity	Different legal and ethical frameworks		
Improved learning and practice	Cultural differences		
Greater impact			



Global partnerships

- Co-development with international partners
- Substantial, genuine and meaningful collaboration/engagement
- Full consideration of relevant developing country

context





Equitable Partnerships

Equitable partnerships with developingcountry researchers to:

- Identify research gaps and needs
- Co-create research questions and research outcomes
- Test solutions to actual problems
- Build research capacity in the UK and developing countries to do research for development





Impact through partnership with non-Research Organisations

Working with NGOs, CSOs, governmental agencies, multinational bodies, and others to:

- Identify development needs
- Test potential and potential limitations of research outcomes on real-world problems
- Co-design real-world implementation of solutions
- Input into policy



Organisation and leadership

- Shared values and goals
- Strong research and operational leadership
- Appropriate and effective monitoring and evaluation
- Ability to learn and adapt





Call Mechanisms and Hints and Tips

Robert Felstead – Head of Policy and Planning

UKRI International Development team

28th January 2019



Call rules and policies

- Please read the guidance
- Rules are being 'harmonised' across UKRI so may be different from previous calls
- Due diligence guidance available: https://www.ukri.org/files/funding/due-diligence-guidance-for-ukros-pdf/



Hints and tips





General observations – previous GCRF activities

- Learn from others!
- Proposals need to be ODA challenge-led/solution-focused
- Applicants need to demonstrate an understanding of the context (societal, cultural, political, historical, economic etc.)
- Don't play disciplinary bingo
- In-country partners need to be appropriate, involved in the scoping and delivery
 of the research and add value



General observations – previous GCRF activities (cont.)

- You will be assessed based on what's on the page the panel/reviewers won't make any assumptions!
- Panels are often broad
 - interdisciplinary, international, non-academics/users
 - not everyone around the table will be an expert in your field
- Be clear and realistic about the potential impact we're not expecting you to solve global poverty in a single grant!
 - Impacts must be directly associated with this grant
 - What are the milestones/steps along the way?
 - What impacts are anticipated during the lifetime of the award?
 - What are the benefits beyond the lifetime of the grant?



OECD DAC Country List





Is it Official Development Assistance?

GCRF is based on the broad OECD ODA definition.

- 1. Which **country/ countries on the DAC list** will directly benefit from this proposal and are these countries likely to continue to be ODA eligible for the duration of the research?
- 2. How is your proposal directly and **primarily relevant to the development challenges of these countries**?
- 3. How do you expect that the outcome of your proposed activities will **promote the economic development and welfare** of a country or countries on the DAC list?



ODA compliance statement

This is not just a box ticking exercise – proposals must demonstrate they are 'in the spirit of ODA'

- Investigate a specific problem and seek specific outcomes which will have a targeted impact on the relevant developing country(ies);
- Identify country specific challenges/sub-challenges and provide evidence as to why
 this is a problem for the specific developing country(ies);
- Address the issue identified effectively and efficiently, working in collaboration with others as appropriate;
- Identify **appropriate pathways to impact** taking into consideration the **local context** to ensure that the developing country benefits from the research.



Promoting equitable partnerships

- Fairness, transparency, mutual responsibility, mutual benefit maximise benefits and mitigate risks
- ✓ Joint agenda setting mutual agreement on meaning and purpose of the work
- Clarity of roles and responsibilities
- ✓ Fair recognition of interests and incentives of all partners
- ✓ Build mutual trust transparency and accountability (decision making and budgets)
- ✓ Regular communication avoid assumptions
- ✓ Invest time in the partnership building relationships is a long term process build time into the project and request funds for face to face meetings
- Regular reviews of health of the partnership
- ✓ Develop an agreed dispute resolution process



Guidelines and toolkits

- A Guide for Transboundary Research Partnerships –
 11 Principles
 https://naturalsciences.ch/organisations/kfpe/11_principles_7_questions
- Research for development impact: The role of equitable partnerships https://www.espa.ac.uk/
- Rethinking Research Partnerships: Discussion Guide and Toolkit https://rethinkingresearchpartnerships.com/
- Partnership Assessment Toolkit
 http://www.ccghr.ca/resources/partnerships-and-networking/partnership-assessment-tool/



Je-S

Check the specific call documentation about Principal and Co-Investigator eligibility.

Entering applicants

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- Please ensure that you submit any account requests as soon as possible.

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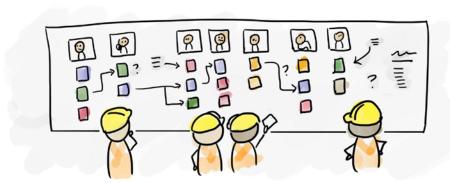
- JeSHelp@rcuk.ac.uk
- 01793 444164



Pathways to Impact

Should:

- provide timelines and milestones for grant specific activities
- identify a breadth of significant and measurable impacts
- demonstrate understanding of the societal and cultural context of the research
- outline the approaches taken to ensure sustainability and potential for legacy beyond the initial investment





Advice of a DFID country adviser: partner, engage, represent

- Seek to create strong partnerships. In country researchers are likely to understand the problem; the political economy and opportunities for impact; they may have greater access
- Engage policy makers in country, but use their time wisely
- Engage with national processes & routine meetings for discussions on policy change; avoid stand alone meetings where possible
- Represent robust research and evidence relating to the challenge(s); don't lobby.
 - Draw on the wider body of evidence
 - Do the research conclusions pass real-world feasibility testing?
 - What would be the cost benefit of implementing change?



Research uptake

Consider developing your own checklist:

- Stakeholder engagement
- Capacity building
- Communicating
- Monitoring and evaluation

www.gov.uk/government/publications/ research-uptake-guidance







Considering your options

Bear in mind...

- Your area of research may not appear in the title!
- Remits may be broader than remit of the administering Research Council
- Different calls will support different types of grants
- These are not the only GCRF/ODA opportunities!

www.ukri.org/research/global-challenges-research-fund/www.newtonfund.ac.uk/



Questions?

gcrf@rcuk.ac.uk

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JeSHelp@rcuk.ac.uk 01793 444164





UK Research and Innovation

Global Challenges Research Fund



Cities and Sustainable Infrastructure

Dr. Jaideep Gupte – Challenge Leader













Cities and Sustainable Infrastructure





Interconnected SDGs

Complex urban challenges



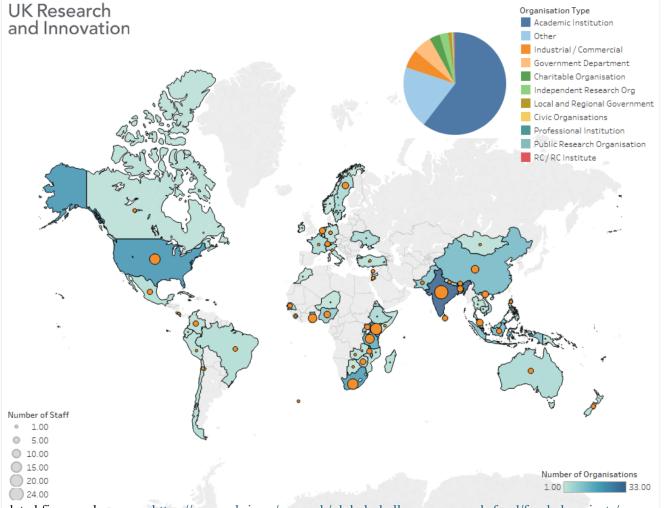
Cities and Sustainable Infrastructure

- 465 GCRF projects across all Research Councils and Academies with relevance to "urban issues".
 - 262 "Urban Economy"
 - 415 "Urban Environment"
 - 221 "Urban Governance"
 - 216 "Urban Society"
 - 184 "Urban Innovation"
 - 314 "Infrastructure and essential services"
- 2607 individuals; 553 organisations; 83 countries. (Of these, 1943 people, 395 organisations and 58 countries are on active awards)
- 101 projects fall explicitly within the Cities and Sustainable Infrastructure portfolio

For updated figures please see: https://www.ukri.org/research/global-challenges-research-fund/funded-projects/



Global engagement and cross sectorial collaboration as a result of GCRF funding on Projects addressing SDG 11 – Sustainable Cities and Communities





SDGs being addressed alongside SDG 11 on UKRI GCRF Funded Projects





UK Research and Innovation

Healthy housing for the displaced: Improving refugee shelters



EPSRC-supported researchers are leading an international project to improve the living conditions of millions of refugees by designing better shelters. The interdisciplinary project is creating low-cost housing that is easy to construct in refugee camps. The new shelters are being designed to moderate extreme temperatures and provide privacy and security, allowing residents to live in dignity.

Researchers at the University of Bath are working alongside colleagues from the Princess Sumaya University for Technology and German Jordanian University in Jordan, and Turkey's Mersin University are using new combinations of conventional and non-conventional materials including recycled plastic, bamboo, mud and straw to design shelters that can provide warmth in winter and cool conditions during the summer.

The researchers are conducting the largest-ever global study monitoring air quality, thermal and social conditions in refugee camps. The findings from this research will be used to create a new manual for use by aid agencies, such as the United Nations High Commissioner for Refugees, which will feature quidelines on shelter design and construction in camps.

In December 2017, the project won the Royal Institute of British Architects President's Award for Research in Housing, with the judging panel describing it as 'important and timely'.





Image: NOT LICENCED-FROM GOOGLE





New Global Research Hubs to tackle complex development challenges





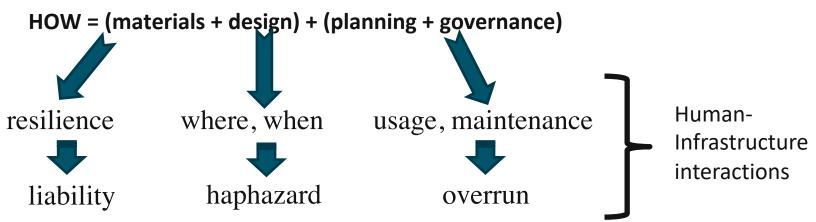
Coherence within and between portfolios

- "The city" / 'urban' as complex systems
 - Concentrate opportunities, jobs, and services
 - Important constellations of knowledge and culture
 - Not immune to failure
 - The built environments is gendered, unequal, segregated, racialised...
 - Urban is not simply a location.
- For example:
 - ✓ Rural ↔ urban ↔ land
 - ✓ Built ↔ social ↔ natural environments
 - ✓ On-grid ↔ off-grid
 - ✓ Surface ↔ sub-surface



Coherence within and between portfolios

- Grand Challenge: Current infrastructure stock barely accommodates 3 billion people; we will need to built as much infrastructure in the next 40 years as we have in the last 1000 years. We must get this right.
- HOW this is done is an important factor defining how cities will respond to/be resilient to natural disasters and displacement crisis.





Global Challenges Research
> UKRI GCRF regional engagement event
> GCRF Collective Program
> Global Engagement Netw
> GCRF Global Impact Accelerator Accounts
> Interdisciplinary Research Hubs
> Strategic Advisory Group
> Challenge Leaders
> GCRF Agile Response to Emergencies
> UKRI International Development Peer Review College
> Funded projects
> Criteria of funding
Themes and programmes
International
Infrastructure

Industrial Strategy Challenge Fund

enges Research Fund CRF regional ent event llective Programme Challenge portfolios: ngagement Networks Education lobal Impact Food Systems or Accounts Global Health iplinary Research Advisory Group Leaders. e Leaders can be found below: aile Response to ernational

Home > Research > Global Challenges Research Fund > GCRF Collective Programme

UKRI GCRF Collective Programme

The UKRI GCRF Collective Programme is a series of calls designed to enhance the overall impact across the six strategic GCRF

- · Cities and Sustainable Infrastructure
- · Resilience to Environmental Shocks and Change
- Security Protracted Conflict, Refugee Crises and Forced Displacement

The programme is an interdisciplinary programme delivered by UK Research and Innovation and steered by the GCRF Challenge

Applicants from all relevant disciplines are encouraged to apply for each call and proposals should be challenge-led and interdisciplinary in nature notwithstanding which council is leading. Links to each call under the UKRI GCRF Collective Programme

Cities and Sustainable Infrastructure

- · GCRF (Re)thinking the off-grid city
- GCRF Sustainable energy and international development: beyond technology

Education

- GCRF Education as a driver of sustainable development Network Plus
- · GCRF Education in conflict and crisis research
- GCRF Education Interventions for Early Childhood Development

Food Systems

- GCRF Cultures and histories of agriculture, food, and nutrition
- . GCRF A combined Food Systems approach to developing interventions to address the Double Burden of Malnutrition

Global Health

· GCRF Health and Context

Resilience to Environmental Shocks and Change

- GCRF Equitable Resilience: ensuring resilience enhances the Sustainable Development Goals
- GCRF Multiple and systemic Risks

Security Protracted Conflict, Refugee Crises and Forced Displacement

- GCRF Development-based approaches to Protracted Displacement
- GCRF Preventing Conflict, Building Sustainable and Inclusive Peace
- GCRF Protection in Contexts of Conflict and Displacement

Calls that cut across the portfolios

- · GCRF Coherence Grants
- · GCRF Gender and Intersectionality Network Plus
- · GCRF Global Engagement Networks







Coherence within and between portfolios

- Everyday realities are relational; but sense of priority to foreground the most marginalised (least resourced) urban residents
- Explicitly speak to ODA priorities
- DAC countries; South-South and "lighthouse" linkages
- Interdisciplinarity and seek to create meaningful involvement of urban practices within research
- In ways that are legible to local change makers; local cultures; local histories



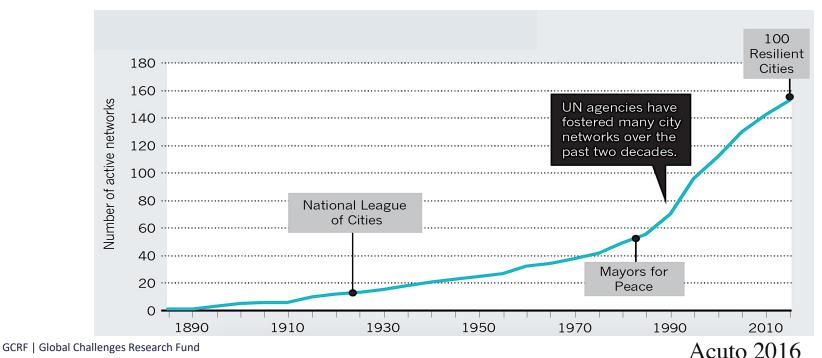
GCRF: Key Criteria

- Research Excellence new approaches not constrained by traditional methodologies or disciplinary silos
- Official Development Assistance (ODA) compliance OECD guidelines
- ✓ Equitable Partnerships and Building Capacity strong and enduring partnerships between UK and developing-country researchers to enhance the research and innovation capacity of both
- Impact: Problem and Solution Focused substantial impact on improved social welfare, economic development, and environmental sustainability



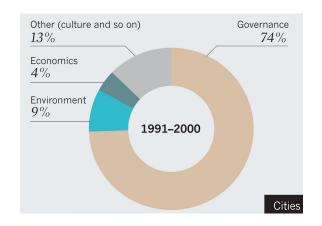
No city is alone – no need to reinvent the wheel

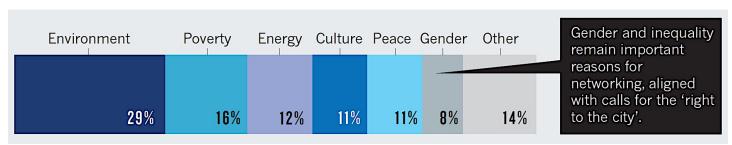
Examples: C40; WHO healthy cities; Gender; Resilience; Safe Cities





City-networks are getting more focused (Climate, Gender, Peace, Poverty)





GCRF | Global Challenges Research Fund Acuto 2016



Carry on the conversation!

- To contact any of the challenge leaders please email challengeleaders@ukri.org
- Twitter: @GCRF; @JaiGupte





GCRF (Re)thinking the off-grid city: Human-infrastructure interactions in the context of urban crises and urban challenge





https://www.devex.com/news/in-kenya-s-largest-slum-the-world-bank-battles-cartels-for-control-of-electricity-93878 Photo: Peter DiCampo



GCRF (Re)thinking the off-grid city



- <u>Delivery partners</u>: <u>ESRC</u>, NERC, AHRC, EPSRC and <u>British</u> <u>Academy</u>
- Objective: to support the most marginalised and least resourced urban residents in the face of acute shocks, chronic stresses and urban change. The call will focus on human-infrastructure interactions and support research that leads to actionable policy alternatives, engineering solutions and social innovations.
- Proposed size of the grants: £500k-£2m (up to 3 years)



GCRF (Re)thinking the off-grid city



- Key dates:
 - Call opens: 23rd April 2019
 - Intention to submit deadline: 29th May 2019
 - Call closes: 24th July 2019
 - Panel: November 2019
 - Grants start: 1st February 2020
- Enquiries: <u>GCRFOff-GridCities@esrc.ukri.org</u>
 or <u>ESRCGCRFQueries@esrc.ukri.org</u>

https://esrc.ukri.org/funding-opportunities/gcrf-re-thinking-the-off-grid-city-human-infrastructure-interactions-in-the-context-of-urban-crises-and-urban-challenge/



GCRF Sustainable energy and international development: beyond technology



GCRF Sustainable energy and international development



- Delivery partners: ESRC, NERC and InnovateUK.
- Objective: Deliver cutting-edge research that enriches our understanding of the intertwined social, environmental, cultural, political and economic factors that affect and shape energy production, access and use in developing countries. Projects should be multi-sectoral and interdisciplinary.
- Proposed size of the grants: £1m £1.5m (3 years)



GCRF Sustainable energy and international development



- Key dates:
 - Call opens: 1st week of April 2019
 - Intention to submit deadline: 15th May
 - Call closes: 2nd week of July 2019
 - Panel: November 2019
 - Grants start: 1st February 2020
- Enquiries: GCRFEnergy@esrc.ukri.org



Key Criteria



GCRF: Fund Level Assessment Criteria

- Research Excellence
 new approaches not constrained by traditional methodologies or
 disciplinary silos
- ✓ Official Development Assistance (ODA) compliance OECD guidelines
- ✓ Equitable Partnerships and Building Capacity strong and enduring partnerships between UK and developing-country researchers to enhance the research and innovation capacity of both
- ✓ Impact: Problem and Solution Focused substantial impact on improved social welfare, economic development, and environmental sustainability



What is GCRF looking for?

- Proposals need to be ODA challenge-led/solution-focused compliance statements need to be focused on and specific to the partner/target countries
- Applicants need to demonstrate an deep understanding of the context (societal, cultural, political, historical, economic etc.)
- In-country partners need to be appropriate, involved in the scoping and delivery of the research, and add value
- Support adaptability and resilience by focusing on key competencies/expertise required rather than specific work streams and staff
- Be clear and realistic about the potential impact
 - Directly associated with this grant
 - What are the benefits beyond the lifetime of the grant?

Je-S

Check the specific call documentation about Principal and Co-Investigator eligibility.

Entering applicants

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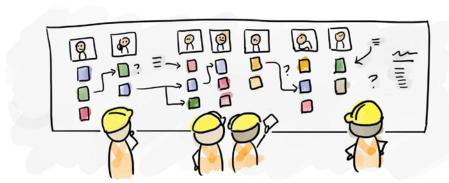
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Pathways to Impact

Should:

- provide timelines and milestones for grant specific activities
- identify a breadth of significant and measurable impacts
- demonstrate understanding of the societal and cultural context of the research
- outline the approaches taken to ensure sustainability and potential for legacy beyond the initial investment





Notes on Costs

- Principal investigators <u>must</u> be based at an eligible UK RO (ESRC 80% contribution)
- ESRC will fund the justified costs for international co-investigators from ROs of comparable standing with UKRI-recognised UK ROs at 100%
- No cap on costs associated with international co-investigators' contribution but all costs to be fully justified
- International co-investigators from countries not on the DAC list are expected to make a significant contribution to their own research costs and will not be eligible for indirect costs
- Contributions to indirect & estates costs for organisations from DAC list countries are eligible

Please see costings guidance for further detailed information



Points to Note

- Applications must demonstrate:
- ODA compliance
- Research excellence
- Appropriate leadership
- Interdisciplinarity
- Development of equitable partnerships
- Multi-partner engagement and collaboration
- Value for money and sustainability

